

FORM PTO-1390  
(REV. 10-2003)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER

8074-25 (P18005 SB/gra)

U.S. APPLICATION NO. (If known, see 37 CFR 1.51)

10/511335

INTERNATIONAL APPLICATION NO.  
PCT/EP03/03937INTERNATIONAL FILING DATE  
15 April 2003PRIORITY DATE CLAIMED  
15 April 2002TITLE OF INVENTION METHOD AND DEVICE FOR CONDITIONING SEMICONDUCTOR WAFERS AND/OR  
HYBRIDS

APPLICANT(S) FOR DO/EO/US Erich Reitinger

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☒ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☒ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☒ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

## Items 11 to 20 below concern document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A preliminary amendment.
14. ☐ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information:
  - International Search Report
  - Copy of the PCT publication WO 03/088323

U.S. APPLICATION NO. (known, see 37 CFR 1.55)

INTERNATIONAL APPLICATION NO.

PCT/EPO3/03937

ATTORNEY'S DOCKET NUMBER  
8074-25 (P18005 SB/gra)21. ☒ The following fees are submitted:**BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):**Neither international preliminary examination fee (37 CFR 1.482)  
nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO  
and International Search Report not prepared by the EPO or JPO..... \$1080.00International preliminary examination fee (37 CFR 1.482) not paid to  
USPTO but International Search Report prepared by the EPO or JPO ..... \$920.00International preliminary examination fee (37 CFR 1.482) not paid to USPTO  
but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$770.00International preliminary examination fee (37 CFR 1.482) paid to USPTO  
but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$730.00International preliminary examination fee (37 CFR 1.482) paid to USPTO  
and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$100.00**ENTER APPROPRIATE BASIC FEE AMOUNT =****CALCULATIONS PTO USE ONLY**

\$ 1,080.00

Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months  
from the earliest claimed priority date (37 CFR 1.492(e)).

\$

CLAIMS

NUMBER FILED

NUMBER EXTRA

RATE

\$

Total claims

20 - 20 =

0

x \$18.00

\$

Independent claims

2 - 3 =

0

x \$86.00

\$

MULTIPLE DEPENDENT CLAIM(S) (if applicable)

+ \$290.00

\$

**TOTAL OF ABOVE CALCULATIONS =**

\$ 540.00

☒ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above  
are reduced by 1/2.

\$ 540.00

**SUBTOTAL =**

\$ 540.00

Processing fee of \$130.00 for furnishing the English translation later than 30 months  
from the earliest claimed priority date (37 CFR 1.492(f)).

\$

**TOTAL NATIONAL FEE =**

\$

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be  
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +

\$

**TOTAL FEES ENCLOSED =**

\$ 540.00

Amount to be  
refunded:

\$

charged:

\$

- a. ☐ A check in the amount of \$ \_\_\_\_\_ to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees.  
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any  
overpayment to Deposit Account No. 50-0679. A duplicate copy of this sheet is enclosed.
- d. ☒ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card  
information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

**NOTE:** Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a)  
or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

F. Chau & Associates, LLC  
130 Woodbury Road  
Woodbury, NY 11797

SIGNATURE

Frank Chau

NAME

34,136

REGISTRATION NUMBER

DT03 Rec'd PCT/PTO 15 OCT 2004  
10/511335

Attorney Docket No. 074-25 (P18005 SB/gra)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Eric Reitingner  
Int'l. Application No.: PCT/EPO3/03937  
Int'l. Filing Date: 15 April 2003  
For: METHOD AND DEVICE FOR CONDITIONING SEMICONDUCTOR  
WAFERS AND/OR HYBRIDS

Mail Stop PCT  
Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.10

I hereby certify that the below listed documents are being deposited with the United States Postal Service on this date October 15, 2004 in an envelope as "Express Mail Post Office to Addressee" Mail Label Number EV511477554US addressed to: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

1. Transmittal Letter to the U.S. Designated Elected Office (DE/EO/US) Concerning a Filing under 35 U.S.C. 371
2. International Application as published
3. International Search Report
4. Copy of the PCT publication WO 03/088323
5. Information Disclosure Statement, Form PTO-1449 and cited references
6. Preliminary Amendment
7. Credit Card Payment Form in the sum of \$460.00
8. Certificate of Mailing
9. Return Postcard

Dated: October 15, 2004

  
Frank Chau

F. CHAU & ASSOCIATES, LLC  
130 Woodbury Road  
Woodbury, NY 11797  
Tel: (516) 692-8888  
Fax: (516) 692-8889

I, Nigel David CROSSAN MA, MSc,  
translator to RWS Group Ltd, of Europa House, Marsham Way, Gerrards Cross,  
Buckinghamshire, England, hereby declare that I am conversant with the English and German  
languages and am a competent translator thereof. I declare further that to the best of my  
knowledge and belief the following is a true and correct translation of the accompanying  
documents in the German language.

Signed this 13th day of September 2004



N. D. CROSSAN

For and on behalf of RWS Group Ltd